

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. Further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated. Address corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24267 7590 05/04/2005

**CESARI AND MCKENNA, LLP
88 BLACK FALCON AVENUE
BOSTON, MA 02210**

06/01/2005 HVUONG2 00000001 09933883

01 FC:1501 1400.00 OP

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

Express

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for ~~first class~~ mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Stephanie Mannino

(Depositor's name)

[Signature]

(Signature)

May 27, 2005

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/933,883	08/20/2001	Samuel M. Cramer	112056-0004P1	6986

TITLE OF INVENTION: NEGOTIATED GRACEFUL TAKEOVER IN A NODE CLUSTER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	08/04/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
MANOSKEY, JOSEPH D	2113	714-004000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Cesari and McKenna, LLP

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NetworkAppliance, Inc.

Sunnyvale, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number **03-1237** (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

[Signature: James M. Behmke]

Date **May 27, 2005**

Typed or printed name **James M. Behmke**

Registration No. **51,488**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PATENTS
112056-0004P1
P01-1036.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)	
Samuel M. Cramer et al.)	
)	
Serial No.: 09/933,883)	Examiner: Manoskey, Joseph D.
)	
Filed: August 20, 2001)	
)	Art Unit: 2113
For: NEGOTIATED GRACEFUL)	
TAKEOVER IN A NODE CLUS-)	
TER)	
)	
		Cesari and McKenna, LLP
		88 Black Falcon Avenue
		Boston, MA 02210
		May 27, 2005

EXPRESS-MAIL DEPOSIT

"Express Mail" Mailing-Label Number: EV 432397085 US

The following papers are being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

<u>X</u> Check No. <u>30547</u> for \$1400	<u>X</u> Issue Fee Transmittal Letter
<u>X</u> Issue Fee Transmittal (Part B)	<u>X</u> Return Request Postcard



PATENTS
112056-0004P1
P01-1036.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)	
Samuel M. Cramer et al.)	
)	Confirmation No.: 6986
Serial No.: 09/933,883)	
)	
Filed: August 20, 2001)	Examiner: Manoskey, Joseph D.
)	
For: NEGOTIATED GRACEFUL)	
TAKEOVER IN A NODE CLUS-)	Art Unit: 2113
TER)	
		Cesari and McKenna, LLP
		88 Black Falcon Avenue
		Boston, MA 02210
		May 27, 2005

“Express Mail” Mailing-Label Number: EV 432397085 US

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P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ISSUE-FEE TRANSMITTAL

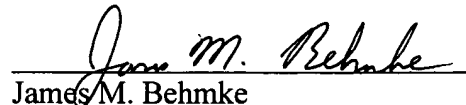
In response to the Notice of Allowance and Issue Fee Due mailed May 4, 2005,
for the above-identified application, enclosed are the following documents:

- Issue Fee Transmittal Form PTOL-85b;
- Fee Transmittal Letter; and
- a check for \$1400 to cover the issue fee.

Please charge any additional fee occasioned by this paper to our Deposit Account

No. 03-1237.

Respectfully submitted,

A handwritten signature in cursive script, reading "James M. Behmke", is written over a horizontal line.

James M. Behmke

Reg. No. 51,448

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue

Boston, MA 02210-2414

(617) 951-2500